

P23662.P01 <b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No.	P23662	Total Pages	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Inventor(s) or Application Identifier: Peter R. NEUWALD, William R. TROST, and Scott R. WATRY			
		Title: J2EE ENTERPRISE INFORMATION SYSTEM (EIS) COMMON OBJECT REQUEST BROKER ARCHITECTURE (CORBA) CONNECTOR			
ADDRESS TO:		<b>Commissioner for Patents</b> <b>Mail Stop Patent Application</b> <b>PO Box 1450</b> <b>Alexandria, VA 22313-1450</b>			
APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>			
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Small Entity Statement(s)</li> </ul>		10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))			
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>28</u> ] (preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)			
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>4</u> ]		12. <input type="checkbox"/> English Translation Document (if applicable)			
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u> ] <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  (for continuation/divisional with Box 20 completed)  [Note Box 6 below] <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s)  named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul>		13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations			
6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		14. <input type="checkbox"/> Preliminary Amendment			
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)		16. <input checked="" type="checkbox"/> Figure of Drawing to be published: <u>1</u>			
20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. <u>  /  </u> , filed <u>  </u> . <i>Prior application information: Examiner: _____ Group Art Unit: _____</i>		17. <input type="checkbox"/> Foreign priority claimed <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Claim of Priority</li> <li>b. <input type="checkbox"/> Certified Copy of Priority Document(s)</li> </ul>			
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a <u>  </u> continuation-in-part, <u>  </u> continuation, <u>  </u> divisional, of Application No. <u>  /  </u> , filed <u>  </u> .		18. <input checked="" type="checkbox"/> Assignee: <u>SBC Knowledge Ventures, L.P., of Reno, Nevada</u>			
		19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Under 37 C.F.R. 1.53(b) and 1.53(f)</u> <hr/> <hr/> <hr/> <hr/>			

Address all future correspondence to **Customer No. 7055** at the present address of:

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*7/29/03*  
Date

*Bruce H. Bernstein* *Reg. No. 29,027*  
Signature *33,329*

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name



## FEE TRANSMITTAL

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Peter R. NEUWALD et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$840.00)

Attorney Docket Number P23662

## METHOD OF PAYMENT (check one)

## FEE CALCULATION (continued)

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2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION (fees effective 01/01/03)

## 1. FILING FEE

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	750.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$ 750.00)

## 2. CLAIMS

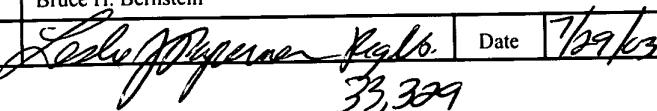
Total Claims	-20=	Extra 5	Fee from below x 18	=	Fee Paid 90.00
Independent 3	-3=	0	x 84	=	0.00
Claims					
Multiple Dependent Claims	0	x 280	=	0.00	

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim
1204	84	2204	42	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 90.00)

## SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature		Date	7/29/03

Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter R. NEUWALD et al.

Serial No. : Not Yet Assigned

Filed : Concurrently Herewith

For : J2EE ENTERPRISE INFORMATION SYSTEM (EIS) COMMON OBJECT  
REQUEST BROKER ARCHITECTURE (CORBA) CONNECTOR

**COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION  
FILED UNDER 37 C.F.R. 1.53(b) and 1.53(f)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b) and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. A properly executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to the above, this application should be associated with Customer Number 7055, which includes the present correspondence address as follows:

GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, VA 20191

The above-identified application includes:

- 28 pages of specification with abstract.
- 4 sheets of drawings with 4 figures.
- 25 total claims and 3 independent.
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Peter R. NEUWALD et al.

  
Bruce H. Bernstein  
Reg. No. 29,027

July 29, 2003  
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